CHAPTER I
INTRODUCTION

A. Background of the Study

*The Prince and the Pauper* novel is written by Mark Twain, it was first published in 1881 in Canada before its publication in United States. The first edition in 1881 was fully illustrated by Frank Merrill, John Harley, and L. S. Ipsen. It has 307 pages and 33 chapters. The novel is also adapted into many genres such as film, TV, theatrical, comic, etc. The book represents Twain’s first attempt at historical fiction. Set in 1547, 16th century, the novel tells a story in the King Henry VIII era. The novel tells about social condition in London under King Henry VIII era, who was cruel and ruled the country cool handed. It tells about the stratification occurs in the society in that time where it was really hard being in the lower class. About how inappropriate behavior done toward people from the lower class.

This novel tells about two boys with similar face but born in a different class. They are Edward Tudor the son of King Henry VIII who will be the next King of London and the other is Tom Canty who is no one, he is son of a beggar and drunken. As a prince Edward is really bored with his daily life, he always imagines to have a wonderful adventure and explore the outside world contrary with Edward Tom Canty who lives in a poor residence who wants to have the life of a prince in the palace. so that’s why he likes to act as a prince in front of his friends and learns how to speak nicely as what prince does and learn latin. One
day Tom goes to the palace and meets the prince. The Prince brings him inside the palace and treats him well, they even change their outfit and surprise how similar they are. Edward goes out to punish the guard but they mistake him as the pauper and kick him out of the palace.

Outside the palace Edward desperates and doesn’t know what to do. Then in the late night someone grabs him, he is John Canty who mistakes him as his son. He beats and pulls him along the way home and gives him no food because he doesn’t bring any money. Edward is suffer and dying because he never had such a cruel action. John Canty also asks him to beg on the street. Even he experiences being put into the jail and sees how scary is it. While Tom who wears prince’s outfit doesn’t know where the real Prince is and he doesn’t know what to do, and everyone in the palace mistakes him as the real Prince. When Tom tells his true identity, the King and everyone in palace consider that the Prince gets mad and lost his mind. But along by the time passed Tom learns quickly how to act as a Prince, he does what a Prince does. Coming to the state dinner even to the royal barge. Contrary with Edward Tom enjoys his new life so much, a wonderful life he has never been.

After several time passed, Edward finally succeed in running away and he goes to the palace to stop the coronation. Helped by Hendon Miles finally Edward arrives in the palace. Tom recognizes him and he can prove his true identity. Than finally Edward is crowned as the King and he becomes a good King because he
has ever become a common people and knows the suffer of the society. And Tom becomes the King’s best friend, his family also gets a good life from the King.

The public response toward this novel is quite good especially for people who like Mark Twain. They state that this novel is brilliant, though provocative, ironic and well narrated. The images Twain presents and the way he narrates makes hard not to smile at his sarcasm and admire how without belittling the grandiose, superstition and ceremonious practises make you see the ironies innate in a society.

There are some other who state that the author takes the opportunity to show to the reader how the world of penury looks to a prince and how the pauper finds his feet in the castle just because they change their outfit one to another. The story comes alive, in the way the two boys deal with various problem. Tom Canty as the King, giving out justice-his abiity to reason and look beyond superstition. The prince is almost arrested, almost killed and comes being flogged in public. That what makes the novel an awesome to read and makes the readers think and enjoy at the same time.

But there are also some negatives responses toward this novel, one of them is person whose nickname is scholar states that the novel doesn’t really have sense because Twain is an American so that’s why he cannot explore well what does the british feel. Out of that opinions The Prince and the pauper novel written by Mark Twain became a best seller in America in the time it’s published because it’s controversial and interesting story eventhough it’s not as popular as his two others
books *The adventure of Tom Sawyer* and *The Adventure of Huckenberry Finn*, and unfortunately the novel doesn’t get any awards on it.

According to the writer’s opinion the novel is very good and gives an interesting feeling when reading it. Furthermore there are several reasons why the writer chooses *The Prince and the Pauper* novel to be analyzed. The first is because the story in the novel is controversial. Telling about the reality in the 16th century about the suffer of the people of England under King Henry of eight rule. The matter is Twain hasn’t been born in that time and he is not even British. He is an American writer who explores about british society in the time he hasn’t born yet. The second is the issue of social stratification that occurs in the novel. The writer is interested in analyzing how social stratification reflected in England in the time of King Henry of eight and the effect to the society especially toward the lower class. And the third is the character and characterization in the novel. The imaginary of each character in the novel is very interesting to be analyzed. The Prince is a stubborn boy, who keeps commanding everyone-yet no one will believe that he is the Prince because he is in the Pauper’s outfit, while Tom who is the son of the Pauper adapts himself slowly to the royal life. The last point of interest is the plot of the story, the plot in this novel shows the characters’ involved in conflict patterned in movement. The changing of the two different character, the Prince become the Pauper and contrary makes the plot more interesting.
According to Kerbo, 2003:11, social stratification is a concept of class, involving the classification of persons into group based on shared socio-economic conditions a relational set of inequalities with economic, social, political and ideological dimensions. There are three lawyers: upper class, middle class and lower class. It can be called by social differentiation, which occurs quite simply, when we find people with distinct individual qualities and social roles. People are differentiated in term of biological characteristics such as sex, size, strength, and agility; and in every society they are differentiated (at least to some degree) by social roles, work tasks, or occupations. From the definition above, can be taken a conclusion that social stratification is the system that ranks and differences people into the classes based on the economic, social, political and ideological dimensions.

The issue of social stratification mostly occurs in the political and social science, but Samuel Clemens whom adopted the pen name “Mark Twain”, who is also riverboat, journalist, lecturer, entrepreneur and inventor. Inserting the issue of social stratification to his novel ‘The Prince and the Pauper’ which gave a critic to the King Henry VIII who was ruled England in that time. Mark Twain critics him because of his cruelly and arbitrarily as a king although he is not London civilian. The most popular novels he wrote were The Adventures of Huckleberry Finn and The Adventures of Tom Sawyer, the other novels he wrote was A Connecticut Yankee in King Arthur's Court and one nonfiction book entitled Life
on the Mississippi. Based on the explanation above, the writer takes a title: SOCIAL STRATIFICATION IN MARK TWAIN’S THE PRINCE AND THE PAUPER NOVEL: A SOCIOLOGICAL APPROACH

B. Previous Study

The researcher finds two previous study dealing with this research. The first researcher is Renny Widiarti (2005) entitled “Anxiety in Mark Twain’s The Prince and the pauper novel: A Psychoanalytic Approach”. She analyzes the novel used psychoanalytic perspective focuses in the issue of anxiety. Then in this study, the writer analyzes Mark Twain’s The Prince and the Pauper novel based on the psychological approach. The writer focuses on anxiety reflected in the novel.

The second is Wiharja (2009) entitled “Kritik Terhadap Hukum Yang Tidak Manusiawi Dalam Novel The Prince and The Pauper Karya Mark Twain”. His research is aimed to analyze whether this novel was a critic for the discrimination in law and its inhumane torture and punishment. The method used in this thesis is library research. The structural approach is used to analyze all intrinsic aspects such as characters, settings, and theme; while literary sociology and law sociology are used to analyze the extrinsic aspect and the relation with the critic for law.

C. Problem Statement

The major problem of the study is “How is social stratification reflected in Mark Twain’s The Prince and the Pauper novel?”
D. Limitation of the Study

The researcher focuses this research on the sociological analysis of social stratification in Mark Twain’s *The Prince and the Pauper* novel.

E. Objectives of the Study

The objectives of the study are:

1. To analyze the structural elements of the novel.
2. To analyze the novel based on sociological perspective.

F. Research Benefits

The benefits expected from this study are as follows:

1. Theoretical Benefit

   To contribute the development of the knowledge, particularly the literary study on *The Prince and the Pauper* novel written by Mark Twain.

2. Practical Benefit

   To give deeper understanding about the content of the novel viewed from sociological perspective and to give input to other writers who intend to analyze *The Prince and the Pauper* novel written by Mark Twain.

G. Research Method

To analyze the data found in this novel, the researcher used the qualitative method in this study.
1. **Object of the Study**
   
The researcher took Mark Twain’s *The Prince and the Pauper* novel published 1881 as the object of the study.

2. **Type of the Data and the Data Source**
   
The type of the data used in the study is text consisting of primary data source and secondary data source.

   a. **Primary Data Source**
      
The primary sources were taken from the novel *The Prince and the Pauper* by set in the 16th century published in 1881. The data involve dialogues characters, actions and the whole narration, which are relevant to the subject matter of this research.

   b. **Secondary Data Source**
      
The secondary data sources were taken from other sources such as essay, comment historical information, biography of the writer, internet and other relevant information.

3. **Type of the Study**
   
The reseacher used descriptive qualitative type. The research object in this study is Mark Twain’s *The Prince and the Pauper* novel.

4. **Technique of the Data Collection**
   
The technique of data collection in this study is library research. It consists of some steps as follow:

   a. Reading the novel rapidly and trying to understand the novel.

   b. Identifying the data.
c. Taking notes and underlying the important words, phrases, and sentences which are relate to the study.

 d. Classifying the data into some categories.

5. **Technique of the Data Analysis**

   To analyze the data used in this research, the researcher did the following techniques:

   a. Analyze the structural element of *The Prince and the Pauper* novel.

   b. Analyze the social stratification in *The Prince and the Pauper* novel based on Swingewood and Laurenson theory of Sociology.

H. **Paper Organization**

   This research is classified into five chapters. Chapter I is introduction: this chapter involves background of the study, previous research, problem statement, limitation of the study, objective of the study, benefit of the study and research organization. Chapter II is underlying theory: this chapter deals with some theory that the writer used to make this research. Those are: the notion of sociology, the major principles of Sociology of Literature, and structural element of the novel. Chapter III is structural analysis. This chapter deals with the structural analysis of *The Prince and the Pauper* novel. Chapter IV is sociological analysis. This chapter explains the sociological analysis of *The Prince and the Pauper* novel. Chapter V is conclusion and suggestion.